# IAP13 Rec'd PCT/PTO 10 JAN 2007

Practitioner's Docket No. 1408/5 Serial No. 10/578,105

**CHAPTER II** 

#### IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/CA04/01918

03 November 2004 (03.11.04)

03 November 2003 (03.11.03)

International Application No.

International Filing Date

Priority Date Claimed

RAPAMYCIN PEPTIDES CONJUGATES: SYNTHESIS AND USES THEREOF

Title of Invention

Sharma et al.

Applicant(s)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTENTION: EO/US

## COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

#### **EXPRESS MAILING UNDER 37 C.F.R. § 1.10\***

(Express Mail label number is mandatory.) (Express Mail certification is optional)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date

<u>January 10, 2007</u> in an envelope addressed to the Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. <u>EV944038809US</u>.

Stacie H. Boswell

Date: January 10, 2007

Stacio H. Boswell

Signature of person certifying

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Completion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)--page 1 of 2 © 2004 Matthew Bender & Company, Inc., a member of the LexisNexis Group.

#### **DECLARATION OR OATH**

1. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

**FEES** 

II. Surcharge fees

Surcharge for filing the oath or declaration later than thirty months from the priority date pursuant to § 1.495(c):

\$65.00

**Total Fees** 

\$65.00

#### **EXTENSION OF TIME**

III. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

**IV.** The total fee due is:

Completion fee(s)

\$65.00

**TOTAL FEE DUE \$65.00** 

#### **PAYMENT OF FEES**

V. A check in the amount of \$65.00 is enclosed herewith and the Commissioner is hereby authorized to charge any additional fees required by this paper or credit any overpayment to Deposit Account Number 50-0426.

A duplicate of this request is attached.

Date: 10 1/2017

Reg. No.: 39,395

Tel. No.: 001-919-493-8000

Customer No.: 25297

01/12/2007 LLANDGRA 00000006 10578105

01 FC:2617

65.00 OP

Signature of Practitioner

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UNITED STATES OF AMERICA

11/03/2003



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/578,105 Sanjay K. Sharma 1408/5

INTERNATIONAL APPLICATION NO.

PCT/CA04/01918

I.A. FILING DATE PRIORITY DATE

11/03/2004

25297 JENKINS, WILSON, TAYLOR & HUNT, P. A. 3100 TOWER BLVD SUITE 1200 DURHAM, NC 27707

CONFIRMATION NO. 1725
371 FORMALITIES LETTER

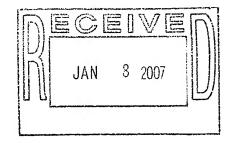
\*OC000000021809912\*

Date Mailed: 01/03/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/03/2006
- Copy of the International Search Report filed on 05/03/2006
- Information Disclosure Statements filed on 06/08/2006
- Oath or Declaration filed on 05/03/2006
- U.S. Basic National Fees filed on 05/03/2006
- Priority Documents filed on 05/03/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
  must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

Initial: 3/3/07 Apsolute: 8/3/07 AAT 1408/5 1/3/07

#### • \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/578,105	PCT/CA04/01918	1408/5

FORM PCT/DO/EO/905 (371 Formalities Notice)